

*This brochure supplement provides information about Jacob David Vonloh that supplements the Jim Saulnier & Associates, LLC brochure. You should have received a copy of that brochure. Please contact Jacob David Vonloh if you did not receive Jim Saulnier & Associates, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Jacob David Vonloh is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Jim Saulnier & Associates, LLC**

### **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Jacob David Vonloh**

Personal CRD Number: 7256423

Investment Adviser Representative

Jim Saulnier & Associates, LLC

506 East Mulberry St

Fort Collins, CO 80524

970-530-0556 ext. 105

[jacob@jimhelps.com](mailto:jacob@jimhelps.com)

UPDATED: August 2023

## Item 2: Educational Background and Business Experience

**Name:** Jacob David Vonloh

**Born:** 1998

### Educational Background and Professional Designations:

#### Education:

Bachelor of Business Administration Financial Planning, Colorado State University - 2020

#### Business Background:

05/2021 - Present	Investment Adviser Representative Jim Saulnier & Associates, LLC
05/2019 - Present	Paraplanner Jim Saulnier & Associates
05/2018 - 08/2018	Laborer Built By Krueger
04/2011 - 08/2017	Lawncare Niwot Lawn Care

#### Professional Designations:

The CERTIFIED FINANCIAL PLANNER, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP®” marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United State and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily the fulfil the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services and attain a bachelor’s degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning

and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances.
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards CFP® professional.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® mark.

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professional who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Jacob David Vonloh is not engaged in any investment-related business or occupation (other than this advisory firm).

### **Item 5: Additional Compensation**

Jacob David Vonloh does not receive any economic benefit from any person, company, or organization, other than Jim Saulnier & Associates, LLC in exchange for providing clients advisory services through Jim Saulnier & Associates, LLC.

### **Item 6: Supervision**

As a representative of Jim Saulnier & Associates, LLC, Jacob David Vonloh is supervised by James Saulnier, the firm's Chief Compliance Officer. James Saulnier is responsible for ensuring that Jacob David Vonloh adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for James Saulnier is (970) 530-0556.